### Compliance Exit Interview Policy

<table>
<thead>
<tr>
<th>Title: Compliance Exit Interview Policy</th>
<th>Reference Number: HQ 5.1.14</th>
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<tbody>
<tr>
<td>Signature: Chief Compliance Officer</td>
<td>Effective Date: March 5, 2020</td>
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<td>Approved by: Chief Compliance Officer</td>
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</tbody>
</table>

- Health Quest Systems, Inc.
- Sharon Hospital
- HQ Home Care
- Thompson House
- Other HQ Entities Not Listed Above
- Northern Dutchess Hospital
- Vassar Brothers Medical Center
- HQ Medical Practice
- Putnam Hospital Center
- Heart Center
- Sharon Hospital Medical Practice

### PURPOSE:
To establish the Compliance Exit Questionnaire (“Questionnaire”) process.

### POLICY:
Health Quest Systems, Inc. and its affiliates (“HQ”) is committed to maintaining a compliant workplace and resolving any issues relating to non-compliant activities. As part of those efforts, employees who are leaving the company will be given an opportunity to report compliance-related concerns during the HQ Compliance Exit Interview Process.

### REFERENCES:
- HQ Code of Conduct
- HQ 5.1.14 Compliance Exit Interview Procedure

### POLICY HISTORY:
- Supersedes: 3/13/19
- Original Implementation Date: 6/15/17
- Date Reviewed: 3/5/20
- Date Revised: 5/30/2018, 6/13/18, 3/13/19, 3/5/20

### APPROVAL:

W.A. McNulty by [Signature]  
3.31.20

Policy Owner  Date
COMPLIANCE EXIT QUESTIONNAIRE

Health Quest is committed to maintaining a compliant workplace and resolving any issues relating to non-compliant activities. As part of our compliance efforts, we value your help and insight based upon your experience as our employee.

1. During the course of your employment, did you become aware of any conduct or activity that you thought may violate any policy, rule, regulation or our Code of Conduct? If yes, please explain.
   ________________________________________________________________
   ________________________________________________________________
   ________________________________________________________________

2. If you answered “Yes” to the previous question, did you notify anyone, like your supervisor or the Chief Compliance Officer, about your concerns? If yes, please explain.
   ________________________________________________________________
   ________________________________________________________________
   ________________________________________________________________

3. Were you ever asked to do something you thought would be unethical or violate any policy, rule, regulation or our Code of Conduct? If yes, please explain.
   ________________________________________________________________
   ________________________________________________________________
   ________________________________________________________________

4. What do you think would happen if you reported a compliance issue?
   a. Nothing would be done.
   b. I would get in trouble for reporting it.
   c. It would be investigated.

5. Are there any compliance problems or concerns that you reported that were not addressed?
   a. What was the issue?
      ________________________________________________________________
   b. Who did you report it to?
      ________________________________________________________________
   c. When did you report it?
      ________________________________________________________________
6. Are you aware that Health Quest has a Compliance Hotline?
   a. Yes
   b. No

7. How did you learn about the Compliance Hotline? (Circle all that apply)
   a. New Employee Orientation
   b. Annual Compliance training
   c. Compliance Week
   d. Dimensions
   e. HQ Website

8. Are you aware of any other compliance issues that you would like to report now?
   ____________________________________________________________
   ____________________________________________________________

9. If you have any Compliance related questions or concerns, are you willing to speak to Compliance about these issues or other compliance matters? If yes, what’s the best way to reach you?
   ____________________________________________________________
   ____________________________________________________________