PURPOSE:
Health Quest (HQ) developed and implemented a compliance program in an effort to promote adherence to applicable federal and state laws and other internal and external standards or requirements. An important component of the compliance program is the use of audits and/or other evaluation techniques to monitor compliance and assist in the reduction of potential risks. This policy focuses on the organization’s resources to effectively and efficiently audit and monitor risk areas.

POLICY:
HQ will conduct ongoing auditing and monitoring of identified risk areas related to compliance. It is the responsibility of the entire management team to ensure that ongoing auditing and monitoring is properly executed, documented, and evidenced. HQ will develop corrective action plans in response to the results of any internal audits performed, and will track the implementation of the corrective action plans in order to assess the effectiveness of such plans.

ENFORCEMENT:
All individuals whose responsibilities are affected by this process are expected to be familiar with the basic procedures and responsibilities created by this process. Failure to comply with this process will be subject to appropriate remedial and/or disciplinary action, up to and including termination of any employment or other relationship, in accordance with this process.

REFERENCES:
Auditing and Monitoring for Compliance Procedure (HQ 5.1.01)

POLICY HISTORY:
Supersedes: 9/27/2017
Original Implementation Date: 9/9/2008
Date Reviewed: 10/19/2018
Date Revised: 10/19/2018

APPROVAL:
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<th>Auditing and Monitoring for Compliance Policy</th>
<th><strong>Reference Number:</strong></th>
<th>HQ 5.1.01</th>
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<td>Executive Compliance Committee</td>
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10/19/2018

Policy Owner

Date