

Eight (8) Elements of an Effective Compliance & Ethics Program

The eight (8) elements listed below are identified in the U.S. Sentencing Commission Guidelines and applicable State law as essential components of an effective compliance and ethics program¹. We encourage our workforce members, business affiliates, and agents (“Covered Individuals”) to use these elements as a road map for establishing and maintaining an appropriate compliance posture throughout Nuvance Health.



Ask Questions. Voice Your Concerns. Report Improper Conduct
1-844-395-9331 (Legacy Western Connecticut Health Network)
1-844-YES-WECOMPLY or 844- 937-9326 (Legacy Health Quest)

¹ This document was adapted from the Health Care Compliance Association website (available at: https://assets.corporatecompliance.org/Portals/1/PDF/Resources/CCEW/2020-ccew-7-elements-poster-for-web_8.5x11.pdf) and thereafter amended to reflect the Nuvance Health Compliance Program activities relative to each element.